

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Marquez)	Group Art Unit: 3738
)	
Application No.: Unknown)	
)	Examiner: Unknown
Filing Date: Herewith)	
)	
For: METHOD OF IMPLANTING A)	
SELF-MOLDING ANNULOPLASTY RING)	
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INFORMATION DISCLOSURE STATEMENT

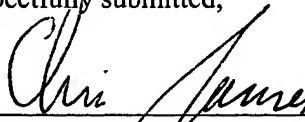
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to the duty of disclosure set forth under 37 CFR §§ 1.56, 1.97, and 1.98, Applicants enclose the attached form PTO/SB/08. Pursuant to 37 CFR § 1.98(d) copies of those references on the PTO/SB/08 form are not being submitted because they were previously cited by or submitted to the U.S. Patent Office in prior application(s) S/N 09/938,902 from which the present application relies upon for an earlier filing date under 35 U.S.C. § 120. No fee is believed due; however, if a fee is to be charged please charge to our Deposit Account No. 50-1225 (ECV-5622CON).

Dated: February 12, 2004

Respectfully submitted,



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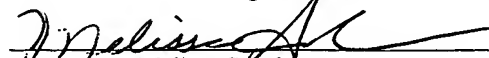
CERTIFICATE OF MAILING

I hereby certify that on February 12, 2004 the above-identified document (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Complete if Known	
		Application Number	
		Filing Date	Herewith
		First Named Inventor	Salvador Marquez
		Art Unit	
		Examiner Name	Unknown
Sheet 1 of 2	Attorney Docket Number		ECV-5622

Examiner Initials*	Cite No.	Document Number Number - Kind Code ² (If known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
	AA	US-4,042,979	08/23/1977	Angell
	AB	US-4,290,151	09/22/1981	Massana
	AC	US-4,489,446	12/25/1984	Reed
	AD	US-4,602,911	07/29/1986	Ahmadi et al.
	AE	US-5,064,431	11/12/1991	Gilbertson et al.
	AF	US-5,201,880	04/13/1993	Wright et al.
	AG	US-5,450,860	09/19/1995	O'Connor
	AH	US-5,593,424	01/14/1997	Northrup III
	AI	US-5,593,435	01/14/1997	Carpentier et al.
	AJ	US-5,716,397	02/10/1998	Myers
	AK	US-5,824,066	10/20/1998	Gross
	AL	US-6,019,791	02/01/2000	Wood
	AM	US-6,183,512 (B1)	02/06/2001	Howanec, Jr. et al.
	AN	US-6,187,040 (B1)	02/13/2001	Wright
	AO	US-6,210,432 (B1)	04/03/2001	Solem et al.
	AP	US-6,217,610 (B1)	04/17/2001	Carpentier et al.
	AQ	US-2001/0049558 (A1)	12/06/2001	Liddicoat et al.

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	T ⁶
		Office Code ³	Number ⁴	Kind Code ⁵ (If known)		
	AR	SU	0577022		10/25/1977	
	AS	WO	91/17721	A1	11/28/1991	

*Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard St. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard St. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

ECV-5622

Application Number

Applicant(s)

Salvador Marquez

Filing Date

Herewith

Group Art Unit

Unknown

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,843,178	12/01/98	Vanney et al.			06/20/96
		5,607,471	03/04/97	Sequin et al.			08/21/95
		6,416,549	07/2002	Chinn et al.			
		6,402,781	06/2002	Langberg et al.			
		5,855,601	01/1999	Bessler et al.			
		5,163,953	11/1992	Vince, Dennis J.			
		4,917,698	04/1990	Carpentier et al.			

FOREIGN PATENT DOCUMENTS

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
	WO 01/06935	02/01/01	PCT			✓	
	WO 90/09153	08/23/90	PCT			✓	
	FR 2 708 458	02/13/95	France			✓	See U.S. 5,607,471

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Melo et al., "Atrioventricular Valve Repair Using Externally Adjustable Flexible Rings", The Journal of Thoracic Cardiovascular Surgery, Vol. 110, Number 5, 1995.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.